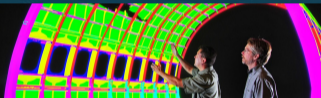




Sandia
National
Laboratories

Background and applications of statistical thermodynamics



1558 Technical Retreat 2024 – Albuquerque, New Mexico, USA

Presented by:

Michael R. Buche^{1,*} 

¹Sandia National Laboratories

*mrbuche@sandia.gov



Sandia National Laboratories is a
multimission laboratory managed
and operated by National Technology
and Engineering Solutions of Sandia
LLC, a wholly owned subsidiary of
Honeywell International Inc. for the
U.S. Department of Energy's National
Nuclear Security Administration
under contract DE-NA0003525.

SAND NO. 2024-05463C



Statistical thermodynamics is a powerful tool.

- Only a few axioms and equations, but a lot of examples.
- Allows constitutive relations to be obtained from molecular physics.
- Nuances from ensemble and system size, state variables and equilibrium, etc.
- Applicable to quantum mechanical systems, of course.

Statistical thermodynamics is sometimes the right tool.

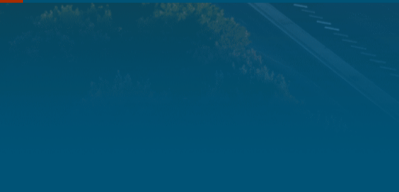
- Molecular stretching, some constitutive modeling, subcritical crack growth.
- Performs poorly when underlying axioms are invalid.
- Performs poorly when the model system is not representative.

Statistical thermodynamics research continues.

- It has historically has focused on computational and approximation techniques.
- New applications and clever model system choices provide further motivation.



Background



Statistical mechanics:

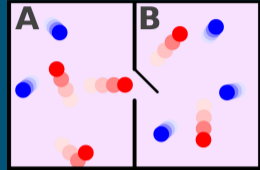
- Probabilistic interpretation of mechanics through $f(p, q, t)$.
- State variables are all atomic positions/momenta, time.

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \sum_{j=1}^{3N} \left(\frac{\partial f}{\partial q_j} \dot{q}_j + \frac{\partial f}{\partial p_j} \dot{p}_j \right) = 0$$

- Fundamentally correct, but can be extremely unwieldy

Statistical thermodynamics:

- Statistical features do not evolve in time (equilibrium).
- Severely reduced number of state variables (ensemble).
- Macroscopic thermodynamics from constituent particles.





Partition functions:

- Probability normalization for all calculations.
- Compute once, if possible, for all states.
- Configuration integral is typically impossible.
- Connection to thermodynamics by inference.
- Laplace transforms change the ensemble.

$$Q(N, V, T) = \frac{1}{N! h^{3N}} \int \dots \int e^{-H(p,q)/kT} dp dq$$
$$= \frac{1}{N!} \left(\frac{2\pi m k T}{h^2} \right)^{3N/2} Z(N, V, T)$$

$$Z(N, V, T) = \int \dots \int e^{-U(q)/kT} dq$$

Difference from macroscopic thermodynamics:

- Non-state variables are averages and fluctuate.
- Ensemble-dependent results for small systems.
- Calculate averages of molecular variables.
- Things like temperature become nebulous.

$$A = -kT \ln Q(N, V, T)$$

$$\langle x \rangle = \frac{1}{Q} \int \dots \int x(q) e^{-U(q)/kT} dq$$

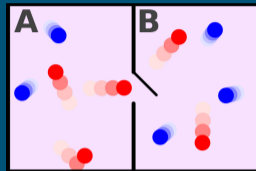
$$P = - \left. \frac{\partial A}{\partial V} \right|_{N, T}$$

6 Statistical thermodynamics



Fundamental axioms [1]:

- Principle of equal *a priori* probabilities.
- The entropy is maximized at equilibrium.
- The entropy takes a specific form.
- Gibbs' postulate, (in)distinguishability of particles.



Two approximation techniques:

- If $U = U_0 + U_1$, where U_1 is weak ($U_1 \ll kT$) [2], i.e. derive van der Waals.

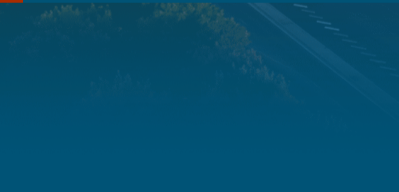
$$A \sim A_0 + \langle U_1 \rangle_0 - \frac{1}{2kT} \left[\langle U_1^2 \rangle_0 - \langle U_1 \rangle_0^2 \right] + \dots$$

- If $U = U_0 + U_1$, where U_1 is steep ($U_1 \gg kT$ and narrow) [3], i.e. correct RRHO.

$$A \sim A_0 + U_1|_0 + kT \left[\left(\frac{A'_0}{kT} \right)^2 - \frac{A''_0}{kT} + \dots \right]_0 + \dots$$



Applications



8 Molecular stretching



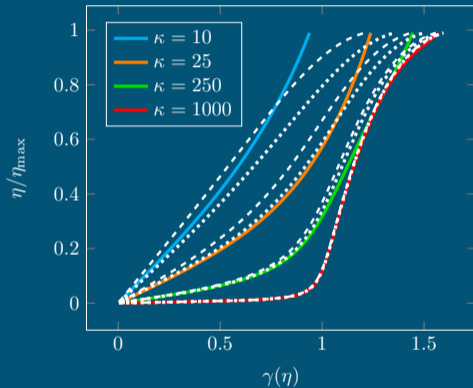
Freely jointed chain models with extensible links [4].

- Ensemble is links N_b , force f , temperature T .
- Resistance due to entropy and link stretching.
- Analytic relations using asymptotic approach.

$$\gamma(\eta) \sim \mathcal{L}(\eta) + \frac{\eta}{\kappa} \left[\frac{1 - \mathcal{L}(\eta) \coth(\eta)}{c + (\eta/\kappa) \coth(\eta)} \right] + \Delta\lambda(\eta)$$

Device effects in these stretching experiments [5].

- No device can apply a constant force or extension due to finite stiffness and size.
- Either ensemble provides a zeroth order approximation in certain device limits.
- Weak and steep theories provide corrections.



9 Constitutive modeling



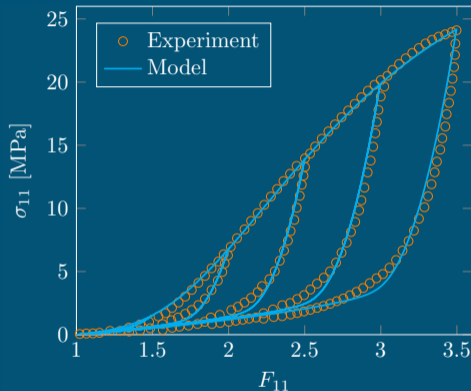
Triple network elastomer, sacrificial cross-links [6].

- Single chains to bulk constitutive model [7].
- Largely successful across model types [8–10].

Rate-dependence and viscous dissipation.

- Possible failure of underlying physics.
 - Failure of transition-state-like theories.
 - Intermolecular interactions not tangible.
- Definite failure of resulting model forms.
 - Always some useless flavor of e^{-kt} .
 - Phenomenological models are better.

Is non-equilibrium statistical mechanics compatible with thermodynamic constitutive theory?

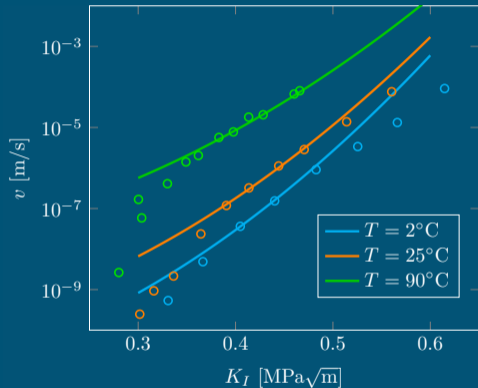


Statistical mechanical model for crack growth [11].

- Harmonic bending, Morse potential bonds.
- Applied displacement or force ensembles.
- Velocity from transition state theory rate.
- Analytic solutions using assumptions:
 - Big system, steep potential, small stretch.

$$\frac{v}{b} \sim \frac{\omega_0}{\pi} \exp\left(\frac{f\Delta x^\ddagger - \Delta u^\ddagger}{kT}\right) \left(\frac{Rb^2}{2kT}\right)$$

- Similar to before, but better parameters now.
- SLS glass in water; no water in model [12, 13].
 - Possible explanation for v overestimation.
 - Future work: environment, dimensions.





Infinite degrees of freedom.

- Continuous limit of discrete particles.
- Integrate over functions instead of numbers.
- Absolute free energies are not defined.

Asymptotic approach still applies [14].

- Worm-like polymer chain models [15].
- Nanoscale origami models [16].
- SPECTacular has similar expansions [17].
- Possibly applicable to quantum field theory.
 - Specifically, the path integral formulation.
 - Looking for a demonstrator problem.

$$Z = \int f(x) e^{-\lambda \phi(x)} \mathcal{D}x$$

$$\phi(x) = \frac{1}{2} \int [x(s) - x_0]^2 ds$$

$$\lambda \gg 1$$

$$A = \int e^{-\frac{1}{2} \int u^2(s) ds} \mathcal{D}u$$

$$Z \sim \frac{A}{\sqrt{\lambda}} f(x_0) \left[1 + \sum_{m=1}^{\infty} \frac{a_m}{\lambda^m} \right]$$



Statistical thermodynamics is a powerful tool.

- Only a few axioms and equations, but a lot of examples.
- Allows constitutive relations to be obtained from molecular physics.
- Nuances from ensemble and system size, state variables and equilibrium, etc.
- Applicable to quantum mechanical systems, of course.

Statistical thermodynamics is sometimes the right tool.

- Molecular stretching, some constitutive modeling, subcritical crack growth.
- Performs poorly when underlying axioms are invalid.
- Performs poorly when the model system is not representative.

Statistical thermodynamics research continues.

- It has historically has focused on computational and approximation techniques.
- New applications and clever model system choices provide further motivation.



- [1] D. A. McQuarrie, *Statistical Mechanics*, (University Science Books, Mill Valley, CA, 2000).
- [2] R. W. Zwanzig, *J. Chem. Phys.* **22**, 1420 (1954).
- [3] M. R. Buche, [Cornell University](#) (2021).
- [4] M. R. Buche, M. N. Silberstein, and S. J. Grutzik, *Phys. Rev. E* **106**, 024502 (2022).
- [5] M. R. Buche and J. M. Rimsza, *Phys. Rev. E* **108**, 064503 (2023).
- [6] E. Ducrot, Y. Chen, M. Bulters, R. P. Sijbesma, and C. Creton, *Science* **344**, 186 (2014).
- [7] M. R. Buche and M. N. Silberstein, *Phys. Rev. E* **102**, 012501 (2020).
- [8] F. J. Vernerey, R. Brighenti, R. Long, and T. Shen, *Macromolecules* **51**, 6609 (2018).
- [9] J. P. Mulderrig, B. Li, and N. Bouklas, *Mech. of Mater.* **160**, 103857 (2021).
- [10] M. R. Buche and M. N. Silberstein, *J. Mech. Phys. Solids* **156**, 104593 (2021).
- [11] M. R. Buche and S. J. Grutzik, *Phys. Rev. E* **109**, 015001 (2024).
- [12] S. Wiederhorn and L. Bolz, *J. Am. Cer. Soc.* **53**, 543 (1970).
- [13] S. Grutzik, K. Strong, and J. Rimsza, *J. Non-Crystal. Solids X* **16**, 100134 (2022).
- [14] M. R. Buche, [Sandia National Laboratories](#) **02140R** (2024).
- [15] J. F. Marko, *Phys. Rev. E* **57**, 2134 (1998).
- [16] M. Grasinger and P. Sharma, *J. Mech. Phys. Solids* **184**, 105527 (2024).
- [17] K. N. Cundiff, M. R. Buche, B. L. Talamini, S. J. Grutzik, J. M. Kropka, and K. N. Long, [Sandia National Laboratories](#) **14317** (2023).